

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENTS

Company Name & Code: ECGC LTD (124)

Statement as on: September 30, 2018

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Face Value Amount (Rs in crs)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. During the Quarter¹									
1	9.15% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	02-Mar-10	CARE	AAA	D	17-Sep-2018	
2	9.20% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	5.00	02-Jul-14	CARE	AAA	D	17-Sep-2018	
3	9.82% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	31-Jan-12	CARE	AAA	D	17-Sep-2018	
4	9.80% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	12-Jun-12	CARE	AAA	D	17-Sep-2018	
5	9.10% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	5.00	31-Jan-13	CARE	AAA	D	17-Sep-2018	
6	9.95% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	13-Feb-14	CARE	AAA	D	17-Sep-2018	
7	9.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	20-Feb-14	CARE	AAA	D	17-Sep-2018	
8	9.50% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	01-Aug-14	CARE	AAA	D	17-Sep-2018	
9	9.55% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	20-Aug-14	CARE	AAA	D	17-Sep-2018	
10	9.00% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	25.00	31-Dec-14	CARE	AAA	D	17-Sep-2018	
11	8.90%IDFC BANK LIMITED	ICTD	5.00	14-Jul-16	INDIA RATING	AAA	AA+	27-Jun-2018	
12	8.65%IDFC BANK LIMITED	ICTD	5.00	15-Dec-15	INDIA RATING	AAA	AA+	27-Jun-2018	
As on Date									
1	9.40% Tata Power Co. Ltd 2022	IODS	5.00	9-Apr-2013	CRISIL	AA	AA-	17-Oct-2013	
2	8.60% STEEL AUTHORITY OF INDIA LTD 2019	OBPT	10.00	3-Feb-2010	CRISIL	AA+	AA-	2-Aug-2017	
3	8.75% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	15.00	29-Apr-2010	CRISIL	AA+	AA-	2-Aug-2017	
4	8.72% STEEL AUTHORITY OF INDIA LTD 2020	OBPT	10.00	30-Apr-2010	CRISIL	AA+	AA-	2-Aug-2017	
5	9.35% STEEL AUTHORITY OF INDIA LTD 202G	OBPT	5.00	20-Sep-2011	CRISIL	AA+	AA-	2-Aug-2017	
6	8.93% Oriental Bank of Commerce	OBPT	5.00	05-Dec-12	ICRA	AA	AA-	31-May-2017	
7	8.53% IDBI Bank 2019	OBPT	10.00	23-Nov-09	CRISIL	AA	A	13-Apr-2018	
8	9.15% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	02-Mar-10	CARE	AAA	D	17-Sep-2018	
9	9.20% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	5.00	02-Jul-14	CARE	AAA	D	17-Sep-2018	
10	9.82% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	31-Jan-12	CARE	AAA	D	17-Sep-2018	
11	9.80% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	12-Jun-12	CARE	AAA	D	17-Sep-2018	
12	9.10% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	5.00	31-Jan-13	CARE	AAA	D	17-Sep-2018	
13	9.95% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	13-Feb-14	CARE	AAA	D	17-Sep-2018	
14	9.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	20-Feb-14	CARE	AAA	D	17-Sep-2018	
15	9.50% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	01-Aug-14	CARE	AAA	D	17-Sep-2018	
16	9.55% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	10.00	20-Aug-14	CARE	AAA	D	17-Sep-2018	
17	9.00% INFRASTRUCTURE LEASING & FINANCIAL SERVICE	IODS	25.00	31-Dec-14	CARE	AAA	D	17-Sep-2018	
18	8.90%IDFC BANK LIMITED	ICTD	5.00	14-Jul-16	INDIA RATING	AAA	AA+	27-Jun-2018	
19	8.65%IDFC BANK LIMITED	ICTD	5.00	15-Dec-15	INDIA RATING	AAA	AA+	27-Jun-2018	

Dated: November 05, 2018

<https://www.ecgc.in>

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/ISU/001/2003-04